TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. A0000366-C1-03-ESW (Under 37 CFR 1.97(b) or 1.97(c)) Pauline Pan, et al. Filing Date Examiner **Group Art Unit** April 19, 2004 Not yet assigned 1614 Title: Substituted Tropolone Compounds, Oral Care Compositions Containing The Same and Methods of Using Address to: Assistant Commissioner for Patents Washington, D.C. 20231 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR  $\Box$  the fee set forth in 37 CFR 1.17(p).

In Re Application Of:

Serial No.

10/827,505

The Same

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) A0000366-C1-03-ESW In Re Application: Pauline Pan, et al. Serial No. Filing Date Examiner **Group Art Unit** 10/827,505 April 19, 2004 Not yet assigned 1614 Substituted Tropolone Compounds, Oral Care Compositions Containing The Same and Methods of The Same Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☑ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0458 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of X Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service 07/06/04 Patent and Trademark Office (F as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature of Person Mailing Correspondence Signature Lisa Peters Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate Fhis certificate may only be used if paying by depositace out. Dated: July 6, 2004 ignature Erika Singleton Wilson, Reg. No. 52,368 Warner-Lambert Company LLC 201 Tabor Road Morris Plains, NJ 07950 Phone: 973-3855564 FAX: 973-385-3117 Customer No.: 29668 CC:

C:/Erika/A366/A366C1ids.doc . DOCKET NO. A0000366-C1-03-ESW SERIAL NO. 10/827,505 Form PTO-1449 U.S. De (REV. 8-83) Patent and Trademark Office APPLICANT(S) Pauline Pan et al. INFORMATION DISCLOSURE CITATION FILING DATE April 19, 2004 **GROUP 1614** (Use several sheets if necessary) U.S. PATENT DOCUMENTS DOCUMENT NUMBER DATE NAME CLASS Examiner **SUBCLASS** FILING DATE Initial APPROPRIATE 5,009,898 Apr. 23, 1991 Shuji Sakuma et al. 5,696,169 Dec. 9, 1997 Yoshiro Otsu, et al. 5,939,050 Aug. 17, 1999 Lokanathan M. Iyer et al. 6,025,312 Feb. 15, 2000 Yoshinobu Saito et al. 6,096,328 Aug. 1, 2000 Paul Albert Sagel et al. FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO EP900560 10/03/ Europe 1999 JP20000044422 15/02/ Japan **English Abstract** 2000 JP 11158052 15/06/ **English Abstract** Japan 1999 JP 10182383 07/07/ **English Abstract** Japan 1998 JP 08003074 19/01/ Japan **English Abstract** 1996 JP 02069411 08/03/ Japan **English Abstract** 1990 JP 01305021 08/12/ Japan **English Abstract** 1989 JP 59175410 04/10/ Japan **English Abstract** 1984 JP 11197217 27/07/ Japan **English Abstract** 1999 JP 11256191 21/09/ Japan **English Abstract** 1999

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<sup>\*</sup> EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.